ROCKWELL SCIENTIFIC

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PTO/SB/96 (09-04)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Rockwell Scientific Licensing, LLC Filed/Issue Date: 05/20/2005 Application No./Patent No.: 09/447,900 Entitled: Monolithic Optical Compensation Device for Improved Viewing Angle in Liquid Crystal Displays Rockwell Scientific Licensing, LLC limited liability company (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignee) states that it is: 1. $\begin{tabular}{l} \end{tabular}$ the assignee of the entire right, title, and interest; or an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is\_ in the patent application/patent identified above by virtue of either: An assignment from the Inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: To: Rockwell International Coproration 1, From: Inventors The document was recorded in the United States Patent and Trademark Office at , or for which a copy thereof is attached. Reel 007266 , Frame 0045 2, From: Rockwell International Corporation To: Rockwell Science Center\_Inc. The document was recorded in the United States Patent and Trademark Office at \_, or for which a copy thereof is attached. To: Rockwell Technologies, LLC From: Rockwell Science Center, Inc. The document was recorded in the United States Patent and Trademark Office at Reel 016547 . Frame 0455 , or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.081 (hose the is supplied below) is authorized to act on behalf of the assignee. The undersit September 20, 2005 Date Signature 805,373,4244 David Zoetewey Printed or Typed Name Telephone Number Intellectual Property Counsel

This collection of information is required by 37 CFR 3.75(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademerk Office, U.S. Department of Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1 of 2

Title

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STATEMENT UNDER 37 CFR 3.73(b)	
Applicant/Patent Owner: Rockwell Scientific Licensing, LLC	
Application No./Patent No.: 09/447,900 Filed/Issue Date: 05/20/2005	
Entitled: Monolithic Optical Compensation Device for Improved Viewing Angle in Liquid Crystal Displays	
Rockwell Scientific Licensing, LLC , a limited liability company (Name of Assignee) (Type of Assignee, e.g., corporation	partnership, university, government agency, etc.)
states that it is:  1.  the assignee of the entire right, title, and interest; or	
an assignee of less than the entire right, title and interest.  The extent (by percentage) of its ownership interest is%	
in the patent application/patent identified above by virtue of either:	
A. An assignment from the inventor(s) of the patent application/patent identified a in the United States Patent and Trademark Office at Reel, Franthereof is attached.	bove. The assignment was recorded ne, or for which a copy
B. A chain of title from the inventor(s), of the patent application/patent identified al below.	pove, to the current assignee as shown
Rockwell Technologies, LLC     To: Innovative Technology Licensing, LLC     The document was recorded in the United States Patent and Trademark Office at	
The document was recorded in the United States Patent and Trademark Office at Reel 016547 Frame <u>u450</u> , or for which a copy thereof is attached.	
From: Innovative Technology Ucensing, LLC To: Rockwell Scientific Licensing, LLC The document was recorded in the United States Patent and Trademark Office at	
Reel 016547 , Frame 0404 , or for which a copy	thereof is attached.
3. From:To:	
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	
Additional documents in the chain of title are listed on a supplemental sheet.	
Additional documents in the chain of title are listed on a supplemental sheet	•
Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy ( <i>i.e.</i> , a true copy of the original assignment document(s)  Division in accordance with 37 CFR Part 3, if the assignment is to be recorded MPEP 302.08]	) must be submitted to Assignment ed in the records of the USPTO. See
The undersigned (whose title is supplied below) is authorized to act on behalf of the a	ssignee.
K 1	September 20, 2005
Signature	Date
David Zostaway	805.373.4244
Printed or Typed Name	Telephone Number
Intellectual Property Counsel	
Title	

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patern and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Paterns, P.O. Box 1450, Alexandria, VA 22313-1450. 2012

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U.S. Patent and Trademark Orfice; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1895, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/447,900 TRANSMITTAL Filing Date 11/15/1999 FORM : First Named Inventor Bruce K. Winker Art Unit Examiner Name James A. Dudek (to be used for all correspondence after initial filing) Attorney Docket Number 93\$Ç024RE Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC ✓ Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidevits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer below): Extension of Time Request Statement under 37 CFR 3.73(b) Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD RECEIVED Certified Copy of Priority Remarks Document(s) OIPE/IAP Reply to Missing Parts/ Incomplete Application SEP **2 1** 2005 Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Rockwell Scientific Signature Printed name David Zoetevis Date Reg. No. 45.258 September 19, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date September 19, 2005 Natelle Renna

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application, Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including process) an application, complementary is governed by 50 0.5.C. 122 and 37 PT 1.11 and 1.14. This concentration is estimated to 2 more than 50 per parting, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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